

cessda eric

Consortium of European Social Science Data Archives
European Research Infrastructure Consortium

Trust and CESSDA Widening process

Trust Seminar

Janez Štebe

Arhiv družboslovnih podatkov, Univerza v
Ljubljani

CESSDA ERIC Widening Meeting

“Strengthening and Widening of the
European infrastructure
of social science data archives”

Wednesday 6 June 2018
Milan, Italy



How many have heard about Core Trust Seal?



cessda eric

History of Trust workshops/ trainings

Both existing and aspiring CESSDA SP participated, and new initiatives

1. Focus on CESSDA Members:

→ support acquiring Trust Seal through self-assessment, peer assessment and consultations

2. Focus on new country service providers in a Widening context:

→ CTS Guidance can help planning new data service institutions

→ Gap analysis following CTS and Maturity self-assessments

- » Trust workshop 1,2, Bergen and Cologne 2013
- » CESSDA Expert Seminar 2015, The Hague, The Netherlands
- » Trust working group established
- » Zagreb SEEDS Workshop (November 2017)
- » SaW Workshops, the Hague and Zagreb, 2016, 2017

Trust workshops 2013 and Expert seminar 2015

Workshop 2013 results:

- » Self Assessment by each SP, in total 14
- » Self → Reviewer → Expert

Points of attention:

- » Documentation missing, especially in English
- » “Work in progress”: how far is the work really?

Expert seminar 2015:

- » TrustWorking Group established based on the „CESSDA Workplan Proposal,,
- » Trust is a central issue in CESSDA
- » Professional excellence by following standards
- » Monitoring compliance to the standards
- » Training as support
- » Monitoring CESSDA SP's Annex 2 obligations

Based on presentation Heiko Tjalsma. DANS: The Trust Workshops in the past and the paragraphs on trust in the CESSDA Work Plan. https://www.cessda.eu/content/download/727/6502/file/Tjalsma_intro.pdf

DSA - Data Seal of Approval was one of the topics of SEEDS Training workshop

Some useful tips and motivations given:

- » For aspiring new archives DSA is a guideline and support for building up the archive.
- » For existing archives it enables evaluation of established procedures and processes.
- » It is also one of the prerequisites for CESSDA membership.
- » Recommended creation of documents lists (available, not yet completed and still needed)
- » Types of documents (policies, contracts, general information, technical ...)

DSA - Data Seal of Approval /
lead by Natascha Schumann

- » Explaining the concept of basic, extended and formal certification (CTS, Nestor, ISO16363)
- » DSA was developed in 2008 by DANS
- » Working Group DSA-WDS was established in 2012
- » CTS established in 2017: emphasis is on documented procedures and plans

Marijana Glavica (FFZG), Renate Kunz Feres (FORS). [Report on Workshop III Zagreb. https://seedsproject.ch/wp-content/uploads/2015/06/Report-on-Workshop-III-Zagreb.pdf](https://seedsproject.ch/wp-content/uploads/2015/06/Report-on-Workshop-III-Zagreb.pdf)

cessda.eric

CESSDA SaW “Trust” workshops 2016, 2017

Focus:

- » the new Common Requirements from DSA/WDS and an overview of the changes
- » each SP identified a contact person for trust and certification issues
- » working group have undertaken to review all test assessment and give feedback to the participants
- » gap analysis based on all the test self-assessments
- » With regard to compliance and the timing of a TDR application, a number of service providers stressed their uncertain future and funding
- » Others discussed the resource implications of preparing and making public the evidence required

Deliverable 4.1 – “Trust” workshops report.

<http://cessdasaw.eu/content/uploads/2017/11/SAW-D4.1..pdf>



Research and Innovation Action

CESSDA Strengthening and Widening

Project Number: 674939 Start Date of Project: 01/08/2015 Duration: 27 months

Deliverable 4.1 – “Trust” workshops report

Dissemination Level	PU
Due Date of Deliverable	01//03/2017
Actual Submission Date	27/10/2017)
Work Package	WP4
Task	T4.3
Type	Report
EC Approval Status	16 November 2017
Version	V1.0
Number of Pages	p.1 – p. 75

Gap analysis, 2017

15 participants submitted a test self-assessment statement and supporting evidence

Each submission was reviewed by two expert members of the CESSDA Trust group

Some institutions did not use the test assessment at all, mostly for reasons of lacking resources, funding, support from ministry...



- » technical infrastructure and security continuity of access, documented procedures and preservation plans.



- » highest scores mission/scope of the repository,
- » expert guidance
- » confidentiality / ethics

Comments most often made by reviewers

R3: Continuity of access

- » Lacking description of plans and procedures in case no formal succession plan is in place
- » Agreements or other documents to provide evidence
- » Preconditions to hand over data to other institutions

- » R4: Confidentiality/Ethics
- » Missing links to documents/procedures
- » Possible effects of the new EU data protection regulation
- » Handling of data with disclosure risk to be described, also for internal data management
- » Description of procedures would be helpful
- » Skills of staff
- » Breach policy

Status CTS Certification CESSDA



	October 2017	April 2018
Acquired	2	9
Submitted	7	-
To submit in 2017	3	N.A.
To submit in 2018 / 2019	3	6
No plans yet / unknown	9	9
Total	24	24

cessda eric

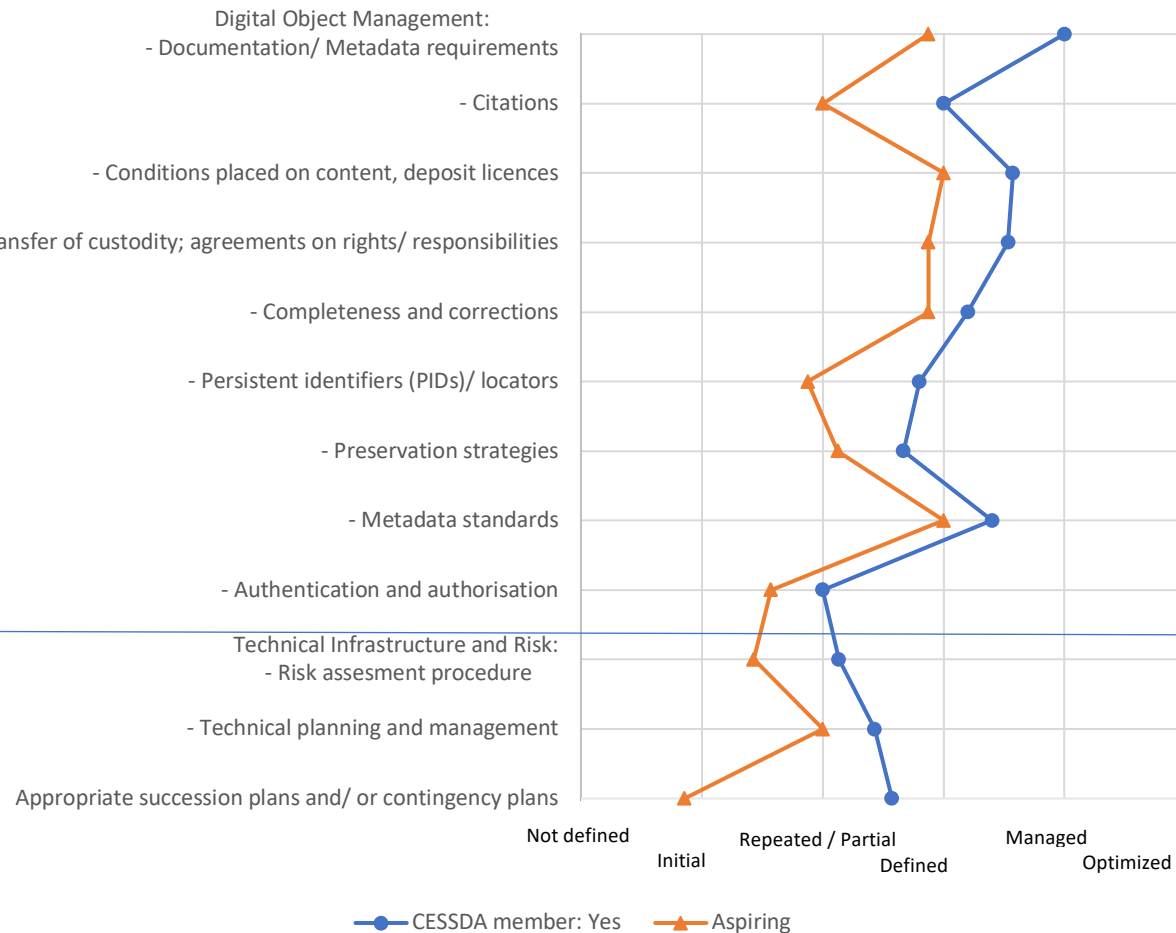
Organisational Infrastructure DAS self-assessment by size of organisation (2017);

CESSDA membership makes difference in particular for the organisational viability.

Examples includes agreements with the organisations with the national reputation: National libraries, Academies of science, and funding organisations.

In some cases, special task forces are foreseen, to manage technical and organisational aspect of a succession

A succession plan includes the key representatives of (former) organisations and external stakeholders into the membership of the task force.



SaW WP3, D3.6 (2017): Final
integrated audit report

cessda eric

Typical questions regarding DSA/WDS/CTS during advisory sessions

- » what is enough,
- » what kind of evidence,
- » how much needs to be public
- » Do you have different levels of certification,
- » How to justify to my stakeholders the benefits of certification if my repository is only on its beginning phase,
- » Are you considering less strict recommendations for certification

Rio CTS Session, informal communication 2018

Main conclusions

Role of founders: sustainability

CESSDA membership: professional community support

Questions

- » Arhiv družboslovnih podatkov / Social Sciences Data Archives



- » Contact:

- » janez.stebe@fdv.uni-lj.si

- » adp.fdv.uni-lj.si



cessda eric